

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Bahrs

Serial No.: 09/431,429

Filed: October 29, 1999

For: Method and Apparatus in a Data  
Processing System for Specifying the  
Sequencing and Mediation of  
Application Components

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§  
§ Group Art Unit: 2178  
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§ Examiner: Paula, Cesar B.  
§  
§ Attorney Docket No.: AUS990339US11  
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<p><u>Certificate of Transmission Under 37 C.F.R. § 1.8(a)</u> I hereby certify this correspondence is being transmitted via facsimile to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile number (703) 746-7239, on <u>September 30, 2003</u>. By: <u><i>Amelia C. Nearing</i></u> Amelia C. Nearing</p>
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PRELIMINARY AMENDMENT AND RESPONSE TO RESTRICTION  
REQUIREMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

In response to the Restriction Requirement dated September 4, 2003, Applicants elect to prosecute the claims of Group II.

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35525

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER§  
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Group Art Unit: 2178

Examiner: Paula, Cesar B.

Attorney Docket No.: AUS990339US11

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Alexandria, VA 22313-1450, facsimile number (703) 746-7239,  
on September 30, 2003.

By:

Amelia C. Nearing  
Amelia C. NearingTRANSMITTAL DOCUMENTCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

TRANSMITTED HEREWITH:

- Preliminary Amendment and Response to Restriction Requirement.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee  
Duke W. Yee

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## Facsimile Cover Sheet

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To: Commissioner for Patents for Examiner Cesar B. Paula Group Art Unit 2178	Facsimile No.: 703/746-7239
From: Amelia Nearing Legal Assistant to Lisa L.B. Yociss	No. of Pages Including Cover Sheet: 5
Message:  Transmitted herewith: <ul style="list-style-type: none"><li>• Transmittal Document; and</li><li>• Preliminary Amendment and Response to Restriction Requirement.</li></ul>	
Re: Application No. 09/431,429 Attorney Docket No: AUS990339US11	
Date: Tuesday, August 19, 2003	
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